





PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/708,525		
		Filing Date	March 10, 2004		
		First Named Inventor	Susumu Noda		
		Art Unit	2874		
		Examiner Name	Sarah U. Song		
Sheet	1	of	2	Attorney Docket Number	39.039

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SUS SUS	U1	US-5,748,057-A	05-05-1998	De Los Santos Roberts	
	U2	US-6,175,671-B1	01-16-2001		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
SUS SUS	F1	EP-1-136-853-A	09-26-2001	TDK, Kansai Tech Licensing	Abstract; paras. 0029-0040; Figs. 1a, 1b, 3	✓
	F2	JP-2001-242329-A	09-07-2001	Olympus		✓

Examiner Signature	<i>Sarah U. Song</i>	Date Considered	23 JUN 05
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*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/708,525
		Filing Date	March 10, 2004
		First Named Inventor	Susumu Noda
		Group Art Unit	2874
		Examiner Name	Sarah U. Song
		Attorney Docket Number	39,039
Sheet	2	of	2

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
SNL	O1	E. Centeno, et al., "Multiplexing and demultiplexing with photonic crystals," Journal of Optics A: Pure Appl. Opt., IOP Publishing, Bristol, GB, Vol. 1, No. 5, September 1999, pp. L10-L13.	
SNL	O2	Masahiro Imada and Susumu Noda, "Recent progress of Semiconductor Photonic Crystals," IEEE-NANO 2002, August 26, 2002, pp. 217-218.	
[Diagonal line across the remaining rows]			

Examiner Signature	<i>Sarah U. Song</i>	Date Considered	23 JUN 05
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